



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE #11 Petition
PATENT EXAMINING OPERATIONS

TO
ENTER
WMM
9/11/03

| | | |
|----------------|------------------------------------|-----------------------|
| Appl. No : | 09/907,260 | Confirmation No. 7018 |
| Applicant : | Paul D. Keppel, <i>et. al</i> | |
| Filed : | July 17, 2001 | |
| TC/A.U. : | 2831 | |
| Examiner : | Examiner William H. Mayo III | |
| Docket No. : | 106E-0023CIP | |
| Customer No. : | 000037953 | |
| Title : | EMISSION CONTROL DEVICE AND METHOD | |

Charlotte, North Carolina
August 14, 2003

Mail Stop
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

PETITION TO ADD JOINT INVENTOR TO PATENT APPLICATION
UNDER 37 CFR 1.48(a)

Dear Sir:

It is respectfully petitioned that the above-identified Application for Patent be amended to add Randolph M. Wilson as a joint inventor. The Application for patent was made through error and without any deceptive intention in the sole name of one of the co-inventor, Paul D. Keppel.

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In support of this petition, Applicants submit the following:

- (1) Statements of Fact by the inventor being added, Randolph M. Wilson, that the inventorship error occurred without any deceptive intention on his part (Appendix "A").
- (2) Statements of Fact by original named inventor, Paul D. Keppel, that the inventorship error occurred without any deceptive intention on his part and agreeing to the change of inventorship (Appendix "B").
- (3) A Declaration and Power of Attorney executed by all of the joint inventors: Paul D. Keppel and Randolph M. Wilson (Appendix "C").
- (4) Written consent of assignee GLOBAL ENVIRONMENTAL CONCEPTS, LLC, to the change in inventorship; a copy of the Assignment by Paul D. Keppel to GLOBAL ENVIRONMENTAL CONCEPTS, LLC that was filed with the subject application, establishing GLOBAL ENVIRONMENTAL CONCEPTS' ownership rights in the subject application; and Recordation of that Assignment (Appendix "D").
- (5) An Assignment by Randolph M. Wilson to GLOBAL ENVIRONMENTAL CONCEPTS, LLC, and a Recordation of Assignment Form (Appendix "E").

The petition fee payment pursuant to 37 C.F.R. § 1.17(i) and the recordation fee payment are also enclosed.

CONCLUSION

For the foregoing reason, it is submitted that the U.S. Patent Application Serial No. 09/907,260 be amended to name the following inventors:

Paul D. Keppel

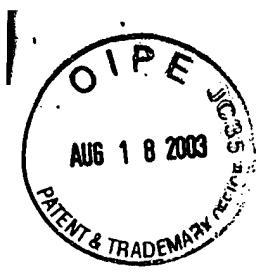
Randolph M. Wilson

Respectfully submitted,



Gregory R. Everman
Attorney for Applicant(s)
Registration No. 47,553
MILLER, EVERMAN & BERNARD, PLLC
4701 Hedgemoore Drive
Suite 250
Charlotte, North Carolina 28209
Telephone: (704) 523-1193
Facsimile: (704) 523-1194

Attorney's
Docket 106E-0023CIP



APPENDIX A



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
PATENT EXAMINING OPERATIONS

Art Unit: 2831 (Examiner William H. Mayo III)

Applicant: Paul D. Keppe, *et al.*

Serial No: 09/907,260

Filed: July 17, 2001

Title: EMISSION CONTROL DEVICE AND METHOD

Charlotte, North Carolina
August 14, 2003

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P.O. Box 1450
Alexandria, VA 22313-1450

STATEMENT OF FACT BY INVENTOR BEING ADDED

Dear Sir:

I, Randolph M. Wilson, respectfully request that the above-identified Application for patent be amended to add Randolph M. Wilson as a joint inventor. During the prosecution of the subject Application, I became aware of the error in inventorship of failing to include myself as an inventor of the claimed invention. The inventorship error of failing to include myself as an inventor when the Application was originally filed occurred without deceptive intention on my part.

This the 15 day of ^{August} July, 2003.


Randolph M. Wilson

APPENDIX B

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
PATENT EXAMINING OPERATIONS

Art Unit: 2831 (Examiner William H. Mayo III)
Applicant: Paul D. Keppe, et al.
Serial No: 09/907,260
Filed: July 17, 2001
Title: EMISSION CONTROL DEVICE AND METHOD

Charlotte, North Carolina
August 14, 2003

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Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

STATEMENT OF FACT BY ORIGINAL NAMED INVENTOR
Dear Sir:

I, Paul D. Keppe, respectfully agree to the change in inventorship and hereby request that the above-identified Application for patent be amended to add Randolph M. Wilson as a joint inventor. During the prosecution of the subject Application, I became aware of the error in inventorship of failing to include Randolph M. Wilson as a co-inventor of the claimed invention. The inventorship error of failing to include Randolph M. Wilson as an inventor when the Application was originally filed occurred without deceptive intention on my part.

This the 15th day of ^{August}, 2003.


Paul D. Keppe

APPENDIX C

O I P E
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PATENT & TRADEMARK OFFICE

DECLARATION IN CO-PENDING APPLICATION
CONTAINING ADDITIONAL SUBJECT MATTER

ATTORNEY'S DOCKET NO.
106E-0023CIP

As a below named inventor, I declare that the information given herein is true, that I believe that I am the original, first and sole inventor if only one name is listed at 201 below, or a joint inventor if plural inventors are named below at 201-203, of the invention entitled:

EMISSION CONTROL DEVICE AND METHOD

which is described and claimed in the attached specification; that this application in part discloses and claims subject matter disclosed in my earlier filed pending application,

Serial No. 09/858,129, filed May 15, 2001;

Serial No. 09/809,990, filed March 16, 2001;

Serial No. _____, filed _____;

Serial No. _____, filed _____;

I hereby state that I have reviewed and understand the contents of the above-identified specifications, including the claims, as amended by any amendment referred to above;

that I acknowledge my duty to disclose information of which I am aware which is material to the examination of this application in accordance with 37 C.F.R. § 1.56; that as to the subject matter of this application which is common to said earlier application, I do not know and do not believe that the same was ever known or used in the United States of America before my or our invention thereof, or patented or described in any printed publication in any country before my or our invention thereof, or more than one year prior to said earlier application; that the common subject matter has not been patented or made the subject of an inventor's certificate issued before the date of said earlier application in any country foreign to the United States of America on an application filed by me or my legal representatives or assigns more than twelve months prior to said earlier application; and as to applications for patents or inventor's certificate on the common subject matter filed in any country foreign to the United States of America, prior to said earlier application by me or my legal representatives or assigns,

no such applications have been filed, or

such applications have been filed as follows:

FOREIGN APPLICATION(S), IF ANY, FILED WITHIN 12 MONTHS PRIOR TO SAID EARLIER APPLICATION

| COUNTRY | APPLICATION NUMBER | DATE OF FILING (day month, year) | PRIORITY CLAIMED UNDER 35 USC 119 | YES <input checked="" type="checkbox"/> NO <input type="checkbox"/> |
|---------|--------------------|-------------------------------------|---|---|
| PCT | PCT/US01/08445 | 16 March 2001 | | YES <input checked="" type="checkbox"/> NO <input type="checkbox"/> |

ALL FOREIGN APPLICATION(S), IF ANY, FILED MORE THAN 12 MONTHS PRIOR TO SAID EARLIER APPLICATION

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s), with full power of substitution and revocation, to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. (list name and registration number)

GREGORY R. EVERMAN - REG. NO. 47,553
JASON S. MILLER - REG. NO. 44,241
JEFFREY S. BERNARD - REG. NO. 50,020

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SEND CORRESPONDENCE TO:

MILLER, EVERMAN & BERNARD, PLLC
 4701 Hedgemoore Drive
 Suite 250
 Charlotte, North Carolina 28209

DIRECT TELEPHONE CALLS TO:
 (name and telephone number)

Gregory R. Everman
 (704) 523-1193

| FULL NAME OF INVENTOR | LAST NAME | FIRST NAME | MIDDLE NAME | |
|----------------------------|--------------------------|-----------------------|------------------------|----------|
| 201 Keppel | | Paul | D. | |
| RESIDENCE & CITIZENSHIP | CITY | STATE/FOREIGN COUNTRY | COUNTRY OF CITIZENSHIP | |
| | Mooresville | NC | USA | |
| POST OFFICE ADDRESS | POST OFFICE ADDRESS | CITY | STATE OR COUNTRY | ZIP CODE |
| | 218 Wood Duck Loop | Mooresville | NC | 28117 |
| FULL NAME OF INVENTOR | LAST NAME | FIRST NAME | MIDDLE NAME | |
| 202 Wilson | | Randolph | M. | |
| RESIDENCE & CITIZENSHIP | CITY | STATE/FOREIGN COUNTRY | COUNTRY OF CITIZENSHIP | |
| | Charlotte | NC | USA | |
| POST OFFICE ADDRESS | POST OFFICE ADDRESS | CITY | STATE OR COUNTRY | ZIP CODE |
| | 4225 Stuart Ridge Street | Charlotte | NC | 28277 |
| FULL NAME OF INVENTOR | LAST NAME | FIRST NAME | MIDDLE NAME | |
| 203 | | | | |
| RESIDENCE & CITIZENSHIP | CITY | STATE/FOREIGN COUNTRY | COUNTRY OF CITIZENSHIP | |
| POST OFFICE ADDRESS | POST OFFICE ADDRESS | CITY | STATE OR COUNTRY | ZIP CODE |

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like are punishable by law or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Paul D. Keppel

Randolph M. Wilson

| | | |
|--|---|---------------------------|
| Signature of Inventor 201  | Signature of Inventor 202  | Signature of Inventor 203 |
| Date 8-15-03 | Date 8-15-03 | Date |

ADDITIONAL INVENTORS ARE BEING NAMED ON SEPARATELY NUMBERED SHEETS ATTACHED HERETO.

APPENDIX D



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
PATENT EXAMINING OPERATIONS

Appl. No : 09/907,260 Confirmation No. 7018
Applicant : Paul D. Keppel, *et. al*
Filed : July 17, 2001
TC/A.U. : 2831
Examiner : Examiner William H. Mayo III

Docket No. : 106E-0023CIP
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Title : EMISSION CONTROL DEVICE AND METHOD

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August 14, 2003

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Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

CONSENT OF ASSIGNEE OF ADDING JOINT INVENTOR

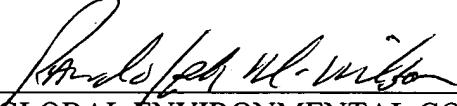
Dear Sir:

I, Randolph M. Wilson, President of VANDERBILT MANAGEMENT GROUP, INC., which is the manager of GLOBAL ENVIRONMENTAL CONCEPTS, LLC, consent to the requested inventorship correction of adding Randolph M. Wilson as a joint inventor to the above-identified Application for patent.

Enclosed are copies of the Assignment to GLOBAL ENVIRONMENTAL CONCEPTS, LLC, executed by original named inventor Paul D. Keppel, and the Recordation of that Assignment, establishing GLOBAL ENVIRONMENTAL CONCEPTS' ownership rights in the subject

Application. The Assignment was recorded by the Assignment Division of the US Patent and Trademark Office on July 17, 2001, on Reel 012000, Frame 0911.

This the 15 day of ^{August} July, 2003.



GLOBAL ENVIRONMENTAL CONCEPTS, LLC
VANDERBILT MANAGEMENT GROUP, INC., Manager
Randolph M. Wilson, President

APPENDIX E

ASSIGNMENT

In consideration of the sum of one dollar (\$1.00) and other good and valuable considerations paid to the undersigned, the undersigned PAUL D. KEPPEL, agrees to assign, and hereby does assign, transfer and set over to GLOBAL ENVIRONMENTAL CONCEPTS, LLC, a limited liability company organized and existing under the laws of Nevada, having an office at 202 North Curry Street, Suite 100, Carson City, Nevada, 89703, (hereinafter "Assignee") the entire right, title and interest for the United States of America, its territories, dependencies and possessions, and all foreign countries, in the invention, and all applications for patent and any Letters Patent which may be granted therefor, known as

EMISSION CONTROL DEVICE AND METHOD

for which the undersigned has executed/~~filed~~ an application for patent in the United States of America on _____

July 16, 2001 Serial No. _____.

1) The undersigned agrees to execute all papers necessary in connection with the application and any continuing or divisional or reissue applications thereof and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient or essential to its full protection and title in and to the invention hereby transferred.

2) The undersigned agrees to execute all papers necessary in connection with any interference which may be declared concerning this application or continuation or division or reissue thereof and to cooperate with the Assignee in every way possible in obtaining evidence and going forward with such interference.

3) The undersigned agrees to perform all affirmative acts which may be necessary to obtain a grant of a valid United States patent to the Assignee.

4) The undersigned agrees to communicate to the Assignee or representatives thereof any facts known to me respecting the invention and improvements thereof, and will, upon request, but without expense to me, testify in any legal proceedings regarding the invention.

5) The undersigned hereby authorizes and requests the Commissioner of Patents to issue any and all Letters Patent of the United States resulting from said application or any division or continuing or reissue applications thereof to the said Assignee, as Assignee of the entire interest, and hereby covenants that he has full right to convey the entire interest herein assigned, and that he has not executed and will not execute, any agreement in conflict herewith.

6) The undersigned hereby grants the firm of DOUGHERTY & CLEMENTS LLP the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent Office for recordation of this document.

7) This Agreement shall be binding upon my heirs, executors, administrators, and/or assigns, and shall inure to the benefit of the heirs, executors, administrators, successors and/or assigns of the Assignee.

IN WITNESS WHEREOF, this Assignment was executed by the undersigned on the date opposite the undersigned name.

Date 7-16-2001 Inventor Paul Keppel Witness Mark J. Wilson
PAUL D. KEPPEL



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

SEPTEMBER 27, 2001

PTAS

DOUGHERTY & CLEMENTS LLP
GREGORY R. EVERMAN
TWO FAIRVIEW CENTER
6230 FAIRVIEW ROAD, SUITE 400
CHARLOTTE, NORTH CAROLINA 28210



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UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 07/17/2001

REEL/FRAME: 012000/0911
NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
KEPPEL, PAUL D.

DOC DATE: 07/16/2001

ASSIGNEE:
GLOBAL ENVIRONMENTAL CONCEPTS, LLC
202 NORTH CURRY STREET, SUITE 100
CARSON CITY, NEVADA 89703

SERIAL NUMBER: 09907260
PATENT NUMBER:

FILING DATE: 07/17/2001
ISSUE DATE:

MARY BENTON, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

RECD
OCT 1 2001
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